

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-043US1	Application No. 10/561,071
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Brian Smith, et al.	
		Filing Date May 26, 2006	Group Art Unit

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	US 3652543	3/28/72	Hoegerle			
	A2	US 3716639	2/13/73	Hoegerle et al.			
	A3	US 3795683	3/05/74	Brossi et al.			
	A4	US 4108989	8/22/78	Holden			
	A5	US 4111957	9/05/78	Holden et al.			
	A6	US 4210749	7/01/80	Shetty			
	A7	US 4210729	7/01/80	Bola V. Shetty			
	A8	US 4233217	11/11/80	Shetty			
	A9	US 5387685	2/07/85	Powell et al.			
	A10	US 4762845	8/09/88	Chu et al.			
	A11	US 4988690	1/29/91	Effland et al.			
	A12	US 5015639	5/14/91	Berger et al.			
	A13	US 5178786	1/12/93	Jahnke et al.			
	A14	US 5387685	7/16/93	Powell et al.			
	A15	US 5275915	1/04/94	Kojima et al.			
	A16	US 5412119	5/02/95	Brussee et al.			
	A17	US 5422355	6/06/95	White et al.			
	A18	US 5691362	11/25/97	McCormick et al.			
	A19	US 5750520	5/12/98	Danilewicz et al.			
	A20	US 5856503	1/05/99	Aebi et al.			
	A21	US 5861393	1/19/99	Danilewicz et al.			
	A22	US 5925651	7/20/99	Hutchinson			
	A23	US 5939415	8/17/99	Laufer et al.			
	A24	US 5942535	8/24/99	Laufer et al.			
	A25	US 5958943	9/28/99	Laufer et al.			
	A26	US 6087346	7/11/00	Glennon et al.			
	A27	US 6218385	4/17/01	Adam et al.			

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	A28	US 6218385	4/17/01	Geo Adam et al.			
	A29	US 6900313	5/31/05	Wasserscheid et al.			
	A30	US 6953787	10/11/05	Smith et al.			
	A31	US 6972295	12/06/05	Hagmann et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	A32	DE 1944121	3/19/70	Germany			
	A33	CH 500194	1/29/71	Switzerland			
	A34	GB 1221324	2/03/71	United Kingdom			
	A35	GB 1225053	3/17/71	United Kingdom			
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	A41	EP 0080779 A1	6/08/83	Europe			
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	A47	EP 0285919	10/12/1988	Europe			
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	A49	WO 9119698	12/26/91	WIPO			
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	A52	JP 06298746	10/25/94	Japan			
	A53	WO 9513274	5/18/95	WIPO			
	A54	CA 2197789	8/16/95	Canada			
	A55	WO 9604271	2/15/96	WIPO			
	A56	WO 9605194	2/22/96	WIPO			
	A57	JP 08134048	5/28/96	Japan			
	A58	WO 9633993	10/31/96	WIPO			
	A59	JP 09030960	2/04/97	Japan			
	A60	JP 90987258	3/31/97	Japan			
	A61	WO 97/24364	7/10/97	WIPO			
	A62	WO 9806701	2/19/98	WIPO			
	A63	WO 9840385	9/17/98	WIPO			
	A64	WO 99/24411	5/20/99	WIPO			
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	A67	WO 0240471	5/23/02	WIPO			
	A68	WO 0248124	6/20/02	WIPO			
	A69	WO 02074746	9/26/02	WIPO			
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	A73	WO 03086306	10/23/03	WIPO			
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	A80	WO 2006/043710	4/27/06	WIPO				
	A81	WO2006/069363A2	06/29/06	WIPO				
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	A84	Chahal et al., IDdb Meeting Report 2000, May 17-18
	A85	Chang et al., "Dopamine receptor binding properties of some 2, 3, 4, 5-tetrahydro-1H-3-benzazapine-7-ols with non-aromatic substituents in the 5-position", <i>Bioorg. Med. Chem. Lett.</i> 2:399-402 (1992)
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	A87	Di Chiara G., (2002) <i>Behavioural Brain Research</i> , 137: 75-114
	A88	Di Chiara et al., "Reward System and Addiction: What Dopamine Does and Doesn't Do", <i>Current Opinion in Pharmacology</i> 7:69-76 (2007)
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	A90	Di Matteo et al., "Role of 5-HT _{2C} Receptors in the Control of Central Dopamine Function", <i>Trends in Pharmacological Sciences</i> 22(5):229-232 (2001)
	A91	Flannery-Schroeder, E. C., "Reducing Anxiety to Prevent Depression", <i>Am. J. Prev. Med.</i> 31(6S1):S136-S142 (2006)
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	A93	Gallant et al., (1967) <i>Current Therapy Research</i> 9(11):579-81
	A94	Gardent et al., "Sur quelques de l'amino-2-bromo-4 1H benzazepine-3 et de ses derives", <i>Bull Soc. Chim. France</i> 2:600-605 (1968)
	A95	Gerace et al., "Predictors of Weight Increases over 7 Years in Fire Fighters and Paramedics", <i>Preventive Medicine</i> 94:593-600 (1996)
	A96	Gobert et al., (2000) <i>Synapse</i> 36: 205-221
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	A101	Hassine-Coniac et al., "Preparation et properites d'aldehydes dans la serie de la benzazapine-3", <i>Bull Soc. Chim. France</i> 11:3985-3992 (1971)
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	A114	Lam RW, Levitt AJ (1999) (eds) Canadian Consensus Guidelines for the Treatment of Seasonal Affective Disorder, Clinical & Academic Publishing, Vancouver, BC, Canada
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	A117	Macdonald et al., "Design and synthesis of trans-3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1H-3-benzazepine (SB-414796): A Potent and selective dopamine D _{sub.3} Receptor Antagonist", <i>J. Med. Chem.</i> 46:4952-4964 (2003) American Chemical Society
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